



1634

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PTO/SB/21 (6-98)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 09/920,491                    |      |
|  | <b>Filing Date</b>          | July 25, 2001                 |      |
|  | <b>First Named Inventor</b> | Dong                          |      |
|  | <b>Group Art Unit</b>       | 1634                          |      |
|  | <b>Examiner Name</b>        | Gunter                        |      |
| <b>Total Number of Pages in This Submission</b>  | <b>3</b>                    | <b>Attorney Docket Number</b> | 3417 |

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| <b>Remarks</b>  |  | <b>Charge any additional fees required under 37 CFR 1.16 and 1.17 to Account No. 01-0431</b>   |

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| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b> |                                |
| <b>Firm or Individual name</b>                    | Sandra Wells, Reg. No.- 52,349 |
| <b>Signature</b>                                  | <i>Sandra Wells</i>            |
| <b>Date</b>                                       | 12/9/02                        |

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| <b>CERTIFICATE OF MAILING</b>  |                    |             |         |
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| <b>Typed or printed name</b>   | Lori Garcia        |             |         |
| <b>Signature</b>   | <i>Lori Garcia</i> | <b>Date</b> | 12/9/02 |

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|                        |               |
|------------------------|---------------|
| Application Number     | 09/920,491    |
| Filing Date            | July 31, 2001 |
| First Named Inventor   | Dong          |
| Group Art Unit         | 1634          |
| Examiner Name          | Gunter        |
| Attorney Docket Number | 3417          |

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I am the:

☐ Applicant.☒ Assignee of record of the entire interest

Certificate under 37 CFR 3.73(b) is enclosed

**SIGNATURE of Applicant or Assignee of Record**

Name Barbara A. Caulfield

Signature

Date 12/05/02

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Dong

Application No./Patent No.: 09/920,491 Filed/Issue Date: July 31, 2001

Entitled: Complexity Management of Genomic DNA

Affymetrix, Inc., a Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012291, Frame 0210, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/05/02  
Date

Barbara A. Caulfield  
Signature

Barbara A. Caulfield

Typed or printed name

**Exec. V.P. and General Counsel**

Title

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